

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Silvia STINGELE et al.
Appl. No.: **NEW NATIONAL PHASE
APPLICATION IN THE
UNITED STATES**
Filed: February 27, 2002
For: GENETICALLY ENGINEERED CELL LINES, AND
THEIR USES, IN PARTICULAR FOR
NEUROTOXICITY TESTING

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION CONCURRENT WITH THE
FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents
Washington, DC 20231

February 27, 2002

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- ☒ Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☐ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should have been forwarded from the International Search Authority pursuant to the trilateral agreement between the USPTO, EPO and JPO. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. ☒ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☒ **FOREIGN SEARCH REPORT OR ACTION**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

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Enclosures: ☒ Form PTO-1449(s)
☒ Documents
☒ Foreign Search Report
☐ Other: _____

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27 FEB 2002

PTO-1449

Sheet 1 of 1

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Attorney Docket No.:

0508-1003

Application No.:

NEW

Applicant:

Silvia STINGELE et al.

Filing Date:

27 February 2002

Group Art Unit:

U.S. PATENT DOCUMENTS

✓Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date (if appropriate)

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 96/35124	11/7/1996	INTERNATIONAL				
	WO 95/05738	3/2/1995	INTERNATIONAL				
	WO 97/24458	7/10/1997	INTERNATIONAL				
	WO 94/26889	11/24/1994	INTERNATIONAL				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	I. GUENAL et al., "Bcl-2 and Hsp 27 Act at Different Levels to Suppress programmed cell death", Oncogene, Vol. 15, No. 3, 17 Jul 1997, pp. 347-360 xp000876792, Figures 1-10
	✓	G. SELIVANOVA et al., "Restoration of the Growth Suppression Function of Mutant p53 by a Synthetic Peptide Derived From the p53 C-terminal Domain", Nat. Med. Vol. 3, No. 6, 6 JUN 1997, pp.632-638, XP002130736, Figure 3
	✓	X. CHEN et al., "P53 Levels, Functional Domains, and DNA Damage Determine the Extent of the Apoptotic Response of Tumor Cells", Genes Development, Vol. 10, No. 19, 1 OCT 1996, pp. 2438-2451, figures 1-5, 8
	✓	H. LIU et al., "lac/Tet-inducible System functions in Mammalian Cell Lines", Biotechniques, Vol. 24, No. 4, April 1998, pp. 624-632, XP002130737, Figure 3
	✓	T. SATOH et al., "Free Radical-Independent Protection by Nerve Growth Factor and Bcl-2 of PC12 Cells From Hydrogen Peroxide-triggered Apoptosis", J. Biochem., Vol. 120, No. 3, September 1996, pp.540-546, XP002130738, Figures 1, 6
	✓	M. LEIST et al., "Apoptosis Excitotoxicity, and Neuropathology", Exp. Cell Res., Vol. 329, No. 2, 15 MAR 1998, pp. 183-201, XP000876804
	✓	R. HAVIV et al., "The Intracellular Domain of p55 Tumor Necrosis Factor Receptor Induces Apoptosis which Requires Different Caspases in Naïve and Neuronal PC12 Cells", J NEUROSCI RES, Vol. 52, No. 4, 15 May 1998, pp. 380-389, XP 000879428

EXAMINER:

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

* Abstract provided for the Examiner's convenience